

# The Structure of Perpendicular Bow Shocks

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A hybrid simulation model with kinetic ions, massless fluid electrons, and phenomenological resistivity is used to study the perpendicular configuration of the bow shocks of the earth and other planets. We investigate a wide range of parameters, including the upstream Mach number, electron and ion beta (ratios of thermal to magnetic pressure), and resistivity. Electron beta and resistivity are found to have little effect on the overall shock structure. Quasi-stationary structures are obtained at moderately high ion beta ( $\beta_i \sim 1$ ), whereas the shock becomes more dynamic in the low ion beta, large Mach number regime ( $\beta_i \sim 0.1$ ,  $M_A > 8$ ). The simulation results are shown to be in good agreement with a number of observational features of quasi-perpendicular bow shocks, including the morphology of the reflected ion stream, the magnetic field profile throughout the shock, and the Mach number dependence of the magnetic field overshoot.

## 1. INTRODUCTION

Satellite observations of the earth's bow shock provide a powerful tool for investigating collisionless shock waves in the high Mach number regime. Reviews of the earlier observations may be found in the articles by *Formisano* [1977] and *Greenstadt and Fredricks* [1979]. The recent ISEE data have shed new light on the subject, not only because of better time resolution but also because the use of two spacecraft has permitted a reliable determination of the shock thickness. One of the numerous results of the ISEE mission has been a description of the overall structure of a typical transition layer in a quasi-perpendicular high Mach number bow shock. The magnetic field structure, analyzed by the high resolution magnetometer experiment [*Russell and Greenstadt*, 1979], usually consists of a foot region which scales as  $c/\omega_{pi}$  ( $\omega_{pi}$  is the upstream ion plasma frequency), a thin ( $\ll c/\omega_{pi}$ ) magnetic ramp or shock front, followed by a postgradient overshoot scaling as several  $c/\omega_{pi}$ .

Preliminary analysis of ion distribution functions from the LASL/MPE fast plasma experiment [*Bame et al.*, 1979] indicates that a population of about 20% of the incoming ions is reflected in the foot region [*Paschmann et al.*, 1981]. These ions eventually reach the downstream region apparently without thermalizing rapidly, confirming the earlier observations by *Montgomery et al.* [1970].

The ion reflection phenomenon associated with high Mach number perpendicular shocks, i.e., Alfvén Mach number larger than about 3, has been theoretically investigated mainly by means of numerical simulation. Thus *Papadopoulos et al.* [1971], *Forslund and Freidberg* [1971], and *Mason* [1972] have analyzed situations in which the ions are unmagnetized with particle in cell codes. The case of magnetized ions has been treated by *Auer et al.* [1962] and *Auer and Evers* [1971], using charge sheets, and by *Biskamp and Welter* [1972] with a particle code. Such simulations have greatly advanced our knowledge of collisionless shock waves. In particular, *Auer et al.* [1962, 1971] have identified

the bimodal ion distributions observed in the downstream region of the bow shock by *Montgomery et al.* [1970] as an ion stream gyrating in the downstream magnetic field, while *Forslund and Freidberg* [1971] have clarified the role and properties of the critical Mach numbers associated with ion reflection.

Anomalous resistivity, however, is absent in such simulations because most of the plasma instabilities leading to anomalous resistivity are due to cross field currents and are thus eliminated by the one-dimensionality of these models. *Chodura* [1975] has considered a different model, although still one-dimensional, in which the ions are treated kinetically, the electrons are treated as a fluid, and anomalous resistivity is included in a macroscopic fashion. This model, applied to the case of unmagnetized ions relevant to the Garching theta pinch experiment, has led to remarkably small fractions of ions that are reflected by the shock, less than 20% for  $M_A \leq 10$ . This number is in agreement with laboratory experiments [*Chodura*, 1975] but is contrary to the cold ion, dissipationless model of *Forslund and Freidberg* [1971], which exhibits total reflection of the incoming ions for Mach numbers  $M_A \geq 3.18$  (so-called upper critical Mach number). *Chodura* [1975] has interpreted this discrepancy as due to the possibility in his model of dissipating the energy of the incoming plasma into the electrons via Ohmic heating. Hybrid codes have been shown to be successful in describing other laboratory experiments [*Sgro and Nielson*, 1976; *Hamasaki et al.*, 1977].

In a recent paper, *Leroy et al.* [1981] (hereafter referred to as paper 1) have adopted such a hybrid model for the perpendicular bow shock. The ions are magnetized, and moreover, the shock is not initialized by a driving piston, as in previous simulations, but through the interaction of two plasma streams. The preliminary results presented in paper 1 for a high  $M_A$  perpendicular shock indicate that a number of observational features can be understood as consequences of the reflected ions. The overall shock structure consists of several distinct regions whose properties are closely connected to the dynamics of the reflected ions. The shock structure is quasi-stationary and sustained by the ion reflection process. Finally, the reflected ions are magnetically deflected downstream with a kinetic energy per ion significantly larger than the upstream ion kinetic energy.

In this paper we provide a comprehensive analysis of the shock model presented in paper 1 and investigate the behav-

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ior of this model over wide ranges of its parameters. We note that large sets of observational data of the earth's and planetary bow shocks, involving different upstream conditions, have been collected during the past decade [Greenstadt and Fredricks, 1979; Russell and Greenstadt, 1979; Russell et al., 1981]. Thus our investigation not only allows us to determine the parameter range of validity of the results of paper 1, but offers us the opportunity to compare our results with the available observations of quasi-perpendicular bow shocks.

The plan of the paper is as follows. The physical model is presented in section 2. A typical case ( $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$ , where  $\beta_{e1}$  and  $\beta_{i1}$  are the upstream ratio of thermal to magnetic pressure for electrons and ions, respectively) is analyzed in section 3. Detailed diagnoses of the time behavior of the shock structure in the simulation are given which confirm the quasi-stationarity of the shock. The role of resistivity and upstream parameters  $M_A$ ,  $\beta_{e1}$ ,  $\beta_{i1}$  is discussed in section 4. It is found that the shock structure does not depend sensitively upon  $\beta_{e1}$  and resistivity, but that the shock behavior is found to be more dynamic (less stationary) at low  $\beta_{i1}$  ( $\beta_{i1} \sim 0.1$ ) than at moderately high  $\beta_{i1}$  ( $\beta_{i1} \sim 1$ ). However, even our low  $\beta_{i1}$  results do not support the results of Biskamp and Welter [1972], who have found a periodically vanishing shock front. More significant are the variations of the model with  $M_A$ . In the subcritical limit, i.e.,  $M_A$  smaller than the lower critical Mach number  $M_A^* \sim 2.5$ , no ion reflection occurs in the model in accordance to fluid theory. For  $M_A$  larger than  $M_A^*$ , ion reflection occurs simultaneously with the appearance of a magnetic field overshoot. The flux of reflected ions reaches 30–40% of the incoming flux for  $M_A \approx 12$ –13. Because the simulation leads to nonstationary structures beyond this value, it is tempting to consider this as the analog in the 'resistive' case of the upper critical Mach number found in the dissipationless model of Forslund and Freidberg [1971]. The magnetic field features are found to scale with  $V_1/\omega_{ci2}$ , where  $V_1$  is the upstream velocity and  $\omega_{ci2}$  is the gyrofrequency computed with the downstream magnetic field. This scaling is consistent with the discussion of Morse [1976]. Section 5 summarizes our results and discusses them in relation to the observations. This discussion is mainly concerned with broad features of observed quasi-perpendicular bow shocks taken as a whole. It will be complemented in a forthcoming paper by a very detailed comparison of our model with one specific shock observation, corresponding to the ISEE shock crossing of November 7, 1977, with a particular emphasis on the comparison of ion distribution functions throughout the shock (C. C. Goodrich, manuscript in preparation, 1982).

## 2. PHYSICAL MODEL

The numerical simulations have been performed with the hybrid code used in paper 1, in which the ions are treated kinetically and the electrons are treated as a fluid. We discuss briefly the method of calculation described in detail by Chodura [1975] and Sgro and Nielson [1976], and we discuss thoroughly the initial and boundary conditions used. The code treats all variables as functions of time and of one spatial variable  $x$ , the abscissa along the shock normal. The positive  $x$  axis points toward the downstream region. The ions are treated as particles and move in the four-dimensional phase space ( $v_x, v_y, v_z, x$ ). Their motion is solved by the

particle in cell technique, the equation of motion of each ion being

$$m_i \frac{d\mathbf{v}}{dt} = e \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) + \mathbf{P} \quad (1)$$

$\mathbf{P}$  is a mean friction force,  $\mathbf{P} = -e\eta\mathbf{J}$ , exerted by the electrons as a macroscopic force only,  $\mathbf{J}$  is the current, and  $\eta$  represents a phenomenological anomalous resistivity, which gives rise to electron Ohmic heating;  $\eta$  is a constant throughout this paper. The ions are initially distributed in phase space as described later and subsequently accelerated by the electromagnetic fields. We thus know the ion distribution function  $f_i(x, \mathbf{v}, t)$ , and by averaging we find the ion density and ion average velocity

$$n_i = \int f_i(x, \mathbf{v}, t) d^3\mathbf{v} \quad \mathbf{V}_i = \frac{1}{n_i} \int \mathbf{v}_i f_i(x, \mathbf{v}, t) d^3\mathbf{v}$$

We assume charge neutrality ( $n_e = n_i = n$ ;  $V_{ex} = V_{ix} = V_x$ ) and treat the electrons as a massless fluid. The electron momentum equation is then

$$n_e m_e \frac{d\mathbf{V}_e}{dt} = 0 = -en_e \left( \mathbf{E} + \frac{\mathbf{V}_e}{c} \times \mathbf{B} \right) - \nabla p_e - n_e \mathbf{P} \quad (2)$$

where  $p_e$  and  $\mathbf{V}_e$  are the scalar pressure and the average velocity of the electrons. In the perpendicular case, the magnetic field  $\mathbf{B}$  remains parallel to one direction, chosen to be the  $z$  direction. Letting  $\mathbf{B} = \nabla \times \mathbf{A}$ , the relevant equations of Maxwell are

$$\begin{aligned} E_y &= -\frac{1}{c} \frac{\partial A_y}{\partial t} \\ B &= \frac{\partial}{\partial x} A_y \\ J_y &= -\frac{c}{4\pi} \frac{\partial^2}{\partial x^2} A_y \end{aligned} \quad (3)$$

These equations are used to rewrite the  $y$  component of the electron momentum equation (2)

$$\eta J_y = E_y - \frac{1}{c} V_x B$$

as

$$\frac{c^2 \eta}{4\pi} \frac{\partial^2}{\partial x^2} A_y = \frac{\partial A_y}{\partial t} + V_x \frac{\partial A_y}{\partial x} \quad (4)$$

which can then be solved by standard techniques [Sgro and Nielson, 1976]. Similarly, the  $x$  component of the electron momentum equation (2) gives for  $E_x$

$$E_x = -\frac{1}{c} V_{ey} B - \frac{1}{ne} \frac{\partial p_e}{\partial x} \quad (5)$$

To solve this equation, an electron energy equation is needed:

$$\left( \frac{\partial}{\partial t} + V_x \frac{\partial}{\partial x} \right) p_e = (\gamma_e - 1) \eta J_y^2 - \gamma_e p_e \frac{\partial V_x}{\partial x} \quad (6)$$

where we assume  $p_e = n K T_e$ , and  $\gamma_e = 5/3$ . An auxiliary

variable, not needed in the calculation but useful in the analysis, is the electric potential  $\phi(x) = -\int_{-\infty}^x E_x dx$ , which can be expressed, using equation (5) and  $V_{ey} = -J_y/ne + V_{iy}$ , as

$$e\phi(x) = \int_{-\infty}^x \frac{1}{n} \frac{\partial}{\partial x'} \left( \frac{B^2}{8\pi} + p_e \right) dx' + \int_{-\infty}^x \frac{1}{c} V_{iy} B dx' \quad (7)$$

In our analysis we will often make use of (1) in the form

$$\frac{d}{dt} v_x = -\frac{e}{m_i} \frac{\partial}{\partial x} \phi + \frac{e}{m_i c} v_y B \quad (8)$$

$$\frac{d}{dt} v_y = -\frac{e}{m_i c} (v_x - V_x) B \quad (9)$$

valid in the limit of small resistivity.

The iterative scheme is as follows: given  $E_x$ ,  $E_y$ ,  $B$ , and  $J_y$ , the ions are moved by using equation (1). The moments  $n$ ,  $V_x$ ,  $V_{iy}$  are then gathered, and (4) is solved for  $A_y$ . Then (3) gives  $B$ ,  $J_y$ ,  $E_y$ , and  $V_{ey} = J_y/ne + V_{iy}$ . Finally, (6) gives  $p_e$  (or  $T_e$ ) and (5) gives  $E_x$ .

These equations are supplemented by appropriate initial and boundary conditions. Unlike previous shock simulations, we have chosen to initialize the shock without a driving piston. This allows us to eliminate any eventual effect of the driving piston on the shock formation and also to produce a shock at rest in the simulation frame, simplifying the diagnostics. The initial state consists of two uniform regions separated by a thin intermediate layer. In the upstream state (the left region in the simulation), the particle ions are uniformly distributed in  $x$  and given random velocities to approximate a Maxwellian distribution convecting toward the intermediate region with appropriate density ( $n_1$ ), temperature ( $T_{i1}$ ) and flow speed ( $V_1$ ) in the  $x$  direction. The upstream magnetic field ( $B_1$ ) and temperature of the fluid electrons ( $T_{e1}$ ) are also assumed to be uniform. The downstream region is prepared similarly with density ( $n_2$ ), ion temperature ( $T_{i2}$ ), flow speed ( $V_2$ ), magnetic field ( $B_2$ ), and electron temperature ( $T_{e2}$ ). The thin intermediate region is prepared such that the density is a linear function of  $x$  which matches  $n_1$  at the left end and  $n_2$  at the right end and satisfies  $B/B_1 = n/n_1 = V_1/V_x$ , some temperature profile being assumed. The downstream quantities are computed from the upstream values using the Rankine-Hugoniot relations with  $\gamma = 5/3$  and an assumed initial value of  $T_{e2}/T_{i2}$  [Tidman and Krall, 1971]. The aim of this method is to prepare at time  $t = 0$  a shock transition 'sufficiently' close to the final state so that the system can eventually reach this final state by allowing the shock transition to evolve in time. The initial downstream state is by necessity an approximation. Since there is no ion heating in the  $z$  direction in the simulation, the ions evolve two-dimensionally in velocity space, while the fluid electrons behave three-dimensionally. Therefore, the downstream state computed by using Rankine-Hugoniot relations, which assume complete ion thermalization, cannot be reached rigorously. The system is allowed to evolve in time and space subject to the following boundary conditions. Equation (4) is solved keeping the magnetic field  $B$  fixed at the boundaries, and (5) is solved assuming  $\partial p_e / \partial x = 0$  (or  $p_e = \text{constant}$ ) at the left end. A constant flux  $n_1 V_1$  of upstream plasma is maintained at the left end.

While for all cases of interest the upstream ion velocity is

much larger than the thermal speed so that no upstream ion has a negative  $x$  velocity, the parameter  $T_{i2}/T_{e2}$  ( $t = 0$ ) is often large enough for the downstream ion distribution to have a negative  $x$  velocity wing. The flux  $F_l$  leaving the system at the right end is easily computed as

$$F_l = \int_0^{\infty} v_x f_i(v_x) dv_x = n_2 V_2 \cdot \left[ 1 + \frac{1}{2} \left( \frac{\exp(-m_i V_2^2 / 2KT_{i2})}{\pi^{1/2} V_2 (m_i / 2KT_{i2})^{1/2}} - \text{erfc}(V_2 / (2KT_{i2}/m_i)^{1/2}) \right) \right]$$

assuming a Maxwellian for  $f_i$ . Particles which exit downstream at the right end are then reinserted into the system such that the upstream flux at the left end is maintained as is the negative  $x$  velocity wing at the right end. Then, at each time step a fraction  $(1 - n_2 V_2 / F_l)$  of the particles escaping the system are readmitted into the right end, with negative random  $x$  velocities approximating the negative wing of  $f_i$ , and the remaining fraction  $n_2 V_2 / F_l$  of ions are injected into the left end. The next flux leaving the system at the right end is thus exactly  $n_2 V_2$  and balances the incoming upstream flux at the left end. This implies that the total number of particles is conserved and also that there is no source or sink of mass, momentum, or energy in the system, since downstream and upstream states are related by Rankine-Hugoniot relations. This procedure is accurate as long as the downstream distribution remains Maxwellian, i.e., as long as the perturbations coming from the transition region (shock region) and convected downstream with approximately a velocity  $V_2$  do not reach the right end of the system. In practice, the size of the system is chosen to be large enough for magnetic effects on the ions to be investigated during the time needed for an initial perturbation in the transition region to reach the right end.

### 3. ANALYSIS OF A TYPICAL CASE

This section is devoted to a detailed study of one particular simulation run, characterized by an Alfvén Mach number  $M_A = V_1 / (B_1^2 / 4\pi n_1 m_i)^{1/2} = 6$  and electron and ion upstream beta  $\beta_{e1} = \beta_{i1} = 1$ . The ratio  $\omega_{pi} / \omega_{ci}$ , where  $\omega_{pi}$  is the upstream ion plasma frequency and  $\omega_{ci}$  is the upstream ion gyrofrequency, is taken equal to  $7.8 \times 10^3$ . These values are fairly typical of supercritical bow shocks [Greenstadt et al., 1980]. The resistivity  $\eta$ , taken as constant, is  $\eta / 4\pi = 1.2 \times 10^{-4} \omega_{pi}^{-1}$ , which corresponds to a collision frequency  $\nu / \omega_{pi} = \eta \omega_{pe}^2 / 4\pi \omega_{pi} = 0.22$ . Such a resistivity is much larger than classical and on the same order of the anomalous resistivity expected from cross field instabilities [Davidson and Krall, 1977]: it is, however, sufficiently small for the density and the magnetic field to be reasonably well coupled. In our discussion, lengths are usually normalized to  $c / \omega_{pi}$ , times to either  $\omega_{pi}^{-1}$  or  $\omega_{ci}^{-1}$ , densities to  $n_1$ , average velocities to  $V_1$ , magnetic fields to  $B_1$  and electric potentials to  $m_i V_1^2 / 2e$ . The total length of the numerical system is  $30 c / \omega_{pi}$ , much larger than the upstream ion gyroradius  $V_1 / \omega_{ci} = M_A c / \omega_{pi} = 6 c / \omega_{pi}$ , and the cell size is taken equal to the resistive scale length  $\eta c^2 / 4\pi V_1 = 0.15 c / \omega_{pi}$ . The initial value of  $T_{e2}/T_{i2}$  is chosen such that  $\beta_{e2} = \beta_{i1}$ , which represents idealized two-dimensional ( $\gamma_i = 2$ ) ion heating. Twenty thousand simulation particles are used in the calculation.

Numerical results are shown in Figures 1–4, which display the normalized  $x - v_x$  and  $x - v_y$  phase space for the ions and

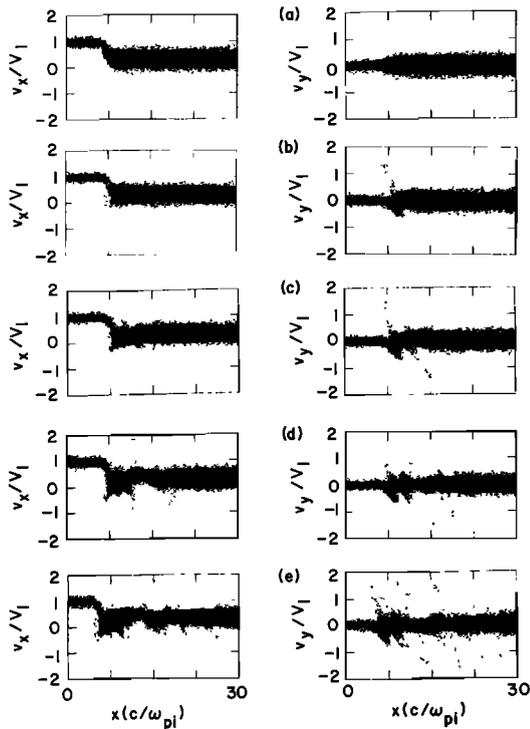


Fig. 1.  $v_x$  versus  $x$  and  $v_y$  versus  $x$  phase space for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at (a)  $t = 0$ , (b)  $1.3 \omega_{ci}^{-1}$ , (c)  $2.6 \omega_{ci}^{-1}$ , (d)  $5.2 \omega_{ci}^{-1}$ , and (e)  $9.6 \omega_{ci}^{-1}$ .

profiles of magnetic field, density, and electric potential at five different times: 0, 1.3, 2.6, 5.2, and  $9.6 \omega_{ci}^{-1}$ . The initial shock transition, shown in Figures 1–4a, is out of balance, since plasma and field quantities do not satisfy Rankine-Hugoniot relations in this intermediate region. As the system is allowed to evolve in time, the intermediate region steepens until its width reaches the resistive length. At the same time some of the downstream ions, which initially have negative  $x$  velocities due to the large initial downstream ion temperature (Figure 1a), flow upstream and are accelerated in the potential hill, gaining an energy  $e\Delta\phi$ . In addition, these ions undergo a large acceleration in the positive  $y$  direction because for these particles the electric force  $eE_y = eV_1B_1/c \approx eV_xB/c$  does not cancel the magnetic force  $ev_xB/c$  (Auer et al. [1971], Biskamp and Welter [1972], Sherwell and Cairns [1977]; paper 1). The escaping ions form a foot region (Figures 2b, 3b), since their density adds to the incoming

ions density. Simultaneously, an overshoot appears in the potential (Figure 4b), caused by the large  $y$  velocities of the escaping ions which now contribute significantly to the second term of the right-hand side (7). A self-sustaining reflection process is then initiated (paper 1). The presence of the escaping ions shifts the rest frame of the incoming stream in the foot region, and the average ion  $x$  velocity  $V_x$  is somewhat smaller than the  $v_x$  of an incoming ion. As a result, some magnetic deflection occurs in the foot region (equations (8) and (9)), which, together with the electric potential, can ‘reflect’ some of the incoming ions. Note that at this time the hot downstream ions can no longer escape upstream because of the electric potential overshoot. The source of reflected gyrating ions thus changes qualitatively in less than a gyrotime  $\omega_{ci}^{-1}$  from downstream to upstream ions. This behavior has been checked to be independent of the initial conditions. When the initial downstream temperature ratio  $T_{e2}/T_{i2}$  is chosen very small, many downstream ions can initially escape upstream, but they build up a potential overshoot sufficient to prevent other downstream particles from following the same trajectories. When  $T_{e2}/T_{i2}$  ( $t = 0$ ) is large, there are no downstream ions with  $v_x < 0$ . In this case the intermediate region steepens up, causing the ions in this region to be heated with approximately  $\gamma_i = 2$ , which produces some ions with negative  $v_x$ , and the same process can take place. The same resulting behavior was also obtained with an initial step function discontinuity between upstream and downstream regions instead of a finite transition layer.

Figures 1–4c show the results at  $t = 2.6 \omega_{ci}^{-1}$ , by which time the system has forgotten the initial conditions. The reflected ions have gained enough energy, owing to the  $E_y$  acceleration, to overcome the potential barrier when they come back to the shock front and gyrate downstream (compare  $x - v_x$  and  $x - v_y$  phase space in Figure 1c). Overshoots in magnetic field and density appear, followed by undershoots, the scales of which are clearly related to the ion gyroradius of the gyrating stream, about  $3-4 c/\omega_{pi}$ . The magnetic field and density profiles are very similar. The foot region is about  $2 c/\omega_{pi}$  wide (Figure 1c and 2c), which is smaller than the upstream gyroradius  $V_1/\omega_{ci} = 6 c/\omega_{pi}$ . This is related to the fact that in the foot region, reflected ions have small negative  $x$  velocities, reaching at most  $1/2 V_1$  in absolute value, because the reflected ions convert most of the energy which they gain coming down the potential hill into  $y$  velocity. Reflected ions have positive values of  $v_y$  up

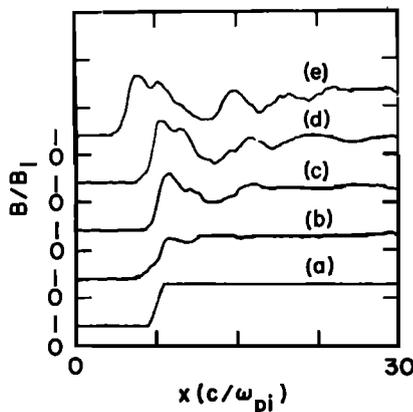


Fig. 2. Magnetic field profiles for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at the same times as in Figure 1.

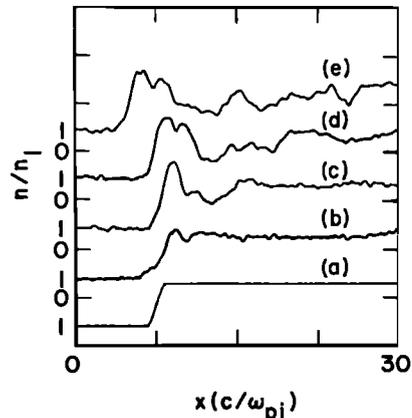


Fig. 3. Density profile for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at the same times as in Figure 1.

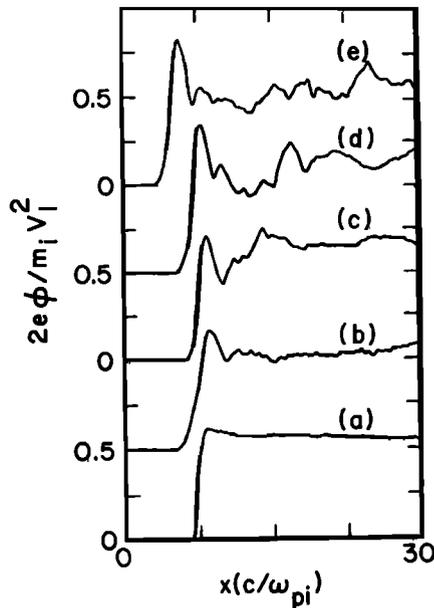


Fig. 4. Electric potential profile normalized by  $m_i V_1^2 / 2e$  for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at the same times as in Figure 1.

to about  $1.6 V_1$  in the foot region, where  $\phi$  is approximately zero. The excess energy compared with the upstream kinetic energy is due to the net positive work done by  $E_y$  on these particles.

It is worth noting the importance of the foot region for particle dynamics in the shock. The foot region acts as a brake for the incoming ions, both electrostatically and magnetically. The electrostatic part has already been discussed (overshoot in potential due to deflection in the  $y$  direction of the reflected ions) and constitutes the main contribution in the present case. The potential reaches 0.8 times the average upstream kinetic energy, which is enough to reflect a significant fraction of the upstream ions. The magnetic part is the deflection due to the shift of the ion plasma frame, as explained in paper 1. Although not very significant for the present case, it can be seen in Figure 1c that the incoming ions acquire negative  $y$  velocities in the foot region, which necessarily results in some  $x$  deceleration (equation (8)). The respective importance of the magnetic part with respect to the electrostatic part increases with  $M_A$ , as discussed in the next section.

Figures 1d and 1e show  $x - v_x$  and  $x - v_y$  phase space at  $t = 5.2$  and  $9.6 \omega_{ci}^{-1}$ . The reflected ions continue to gyrate downstream with a guiding center velocity approximately equal to  $V_2$ . In addition, the compressions and rarefactions in the density and magnetic field are produced dynamically by the gyrating stream as is evident from comparing Figure 1e and Figure 3e. The latter is consistent with earlier simulations [Biskamp and Welter, 1972] and the discussion of Morse [1976]. The first revolution of the gyrating stream behind the shock front is altered by the presence of the potential overshoot (paper 1), which acts as a barrier between the downstream and upstream region. The gyrating stream, starting from the foot region, would have come back after a complete revolution significantly closer to the magnetic ramp in the absence of the potential overshoot. Instead, the turning point of the gyrating stream is slightly further downstream, resulting in the appearance of the second reduced maximum in the magnetic field and density

overshoots (Figures 2e and 3e). The first maximum is due to the incoming ions, some of which pile up at the shock front before being reflected or continuing downstream. Thus the overshoots in the magnetic field and density are extended and are significantly longer than that of the potential (Figures 2-4e)).

The ions that are transmitted downstream through the shock front without reflection are adiabatically heated, as determined from the velocity spread in phase space (Figure 1e). However, the 'temperatures' in both the  $x$  and  $y$  directions are not constant just behind the shock transition (i.e., for several ion gyroradii of the gyrating ions (Figure 1e)) but smooth out further downstream. One notes in particular that phase mixing between the ions transmitted downstream with and without reflection is not very efficient. The gyrating stream pattern remains easily visible even after several ion gyroradii (Figure 1e), although the gyrating stream progressively loses some kinetic energy, from about 3.2 times the upstream kinetic energy just behind the shock front to about 1.7 at  $x = 25 c/\omega_{pi}$ . The energy goes primarily into heating up the bulk of the transmitted ions. This coupling between the gyrating stream and transmitted core has been found not to be due to the anomalous transfer term in the ion equation of motion, equation (1).

Figure 5 shows  $\beta_e$  and  $\beta_i$  as a function of  $x$  at  $t = 9.6 \omega_{ci}^{-1}$ .  $\beta_i$  is defined as the  $x - x$  component of the ion pressure tensor divided by the local magnetic pressure.  $\beta_i$  achieves large values both in the front ( $\sim 9$ ) and also just behind the shock front ( $\sim 11$ ). Of course, these large values of  $\beta_i$  do not represent actual ion temperatures, but rather a large separation in velocity between the reflected and transmitted ions. The value of  $\beta_i$  farther downstream ( $x = 20 c/\omega_{pi}$ ) is about 2.5, significantly larger than the value of  $\beta_i$  expected from ion compressional heating with  $\gamma_i = 2$  (compare with the  $\beta_i = 1$  of the remnant of initial downstream plasma at  $x = 30 c/\omega_{pi}$

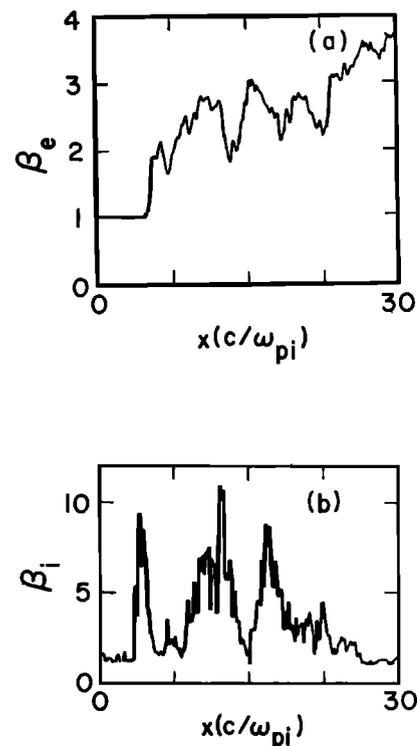


Fig. 5. (a)  $\beta_e$  and (b)  $\beta_i$  profiles for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at  $t = 9.6 \omega_{ci}^{-1}$ .

in Figure 1e). The value of  $\beta_e$  is also significantly larger than unity because of resistive heating;  $\beta_e$  is about 2.7 at  $x = 20 c/\omega_{pi}$ .

In Figure 6 are plotted  $V_x/V_1$ ,  $V_{iy}/V_1$ , and  $V_{ey}/V_1$  as functions of  $x$ . A comparison of Figure 3e and Figure 6 shows that the flux  $nV_x$  is approximately constant. Although  $V_{ey}$  is large ( $0.5 V_1$ ) at the shock front, the current in the  $y$  direction is not very strong because there is also a net ion drift in the  $y$  direction, attaining a maximum of  $0.35 V_1$  at the shock front. These large ion  $y$  velocities have also been found in the simulations of *Auer et al.* [1971]. In the simulation presented in this section, the magnetic ramp is as wide as the foot region ( $\sim c/\omega_{pi}$ ), leading to a rather weak  $y$  current. As shown in the next section, the use of a larger number of cells and smaller resistivity would make clear the separation between ion scales (foot region) and the resistive scale on which  $B$  increases up to its maximum (ramp region).

Figure 7 presents a time history of three quantities: the maxima,  $\phi_{\max}$  and  $B_{\max}$ , of electric potential and magnetic field in their respective overshoots and the percentage of reflected ions  $\alpha$ . This percentage is computed as the flux in the  $x$  direction of ions with negative  $x$  velocities on the left of the shock front, defined as where the potential reaches its maximum, normalized by the upstream flux  $n_1 V_1$ . These quantities are shown between  $2 \omega_{ci}^{-1}$ , after which time the system has essentially forgotten its initial conditions, and the final time  $t = 9.6 \omega_{ci}^{-1}$ . The system behaves fairly steadily, as can be inferred from the small relative standard deviations around the average values of the measured quantities reported in Table 1.

The quasi-stationary behavior of this hybrid model simulation is in contrast with the results of *Biskamp and Welter* [1972], obtained with a one-dimensional particle code, who have observed a periodically vanishing leading edge of the shock. We have performed runs in which the shock behavior

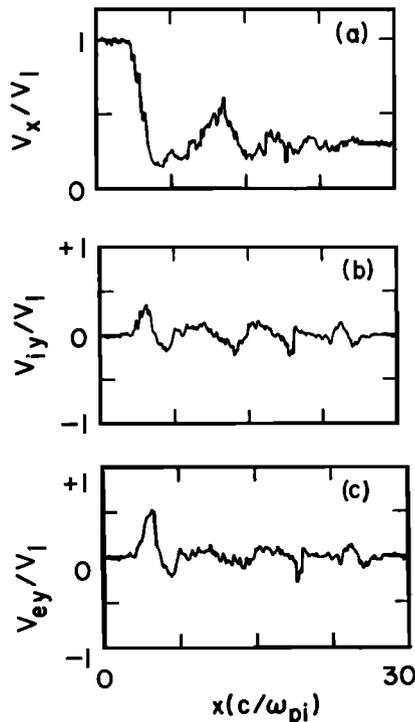


Fig. 6. (a)  $V_x$ , (b)  $V_{iy}$  and (c)  $V_{ey}$  profiles for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  at  $t = 9.6 \omega_{ci}^{-1}$ .

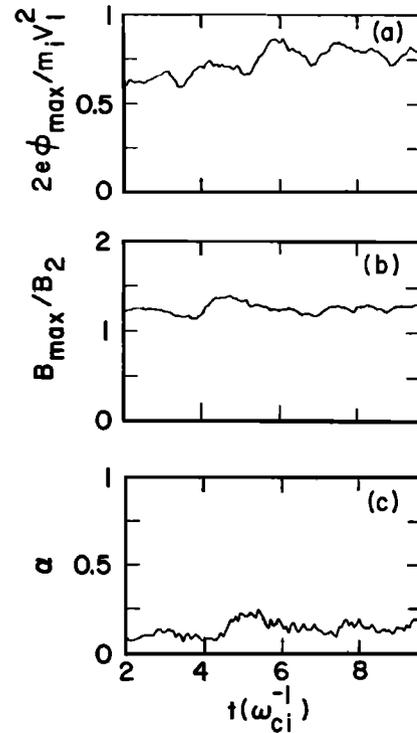


Fig. 7. Time histories of (a)  $\phi_{\max}$ , (b)  $B_{\max}$ , and (c)  $\alpha$ , between  $t = 2 \omega_{ci}^{-1}$  and  $t = 9.6 \omega_{ci}^{-1}$ .

is less steady than in the case discussed here (see next section) without recovering their results, however. According to *Biskamp* [1973], the reflected ions in the foot region of the particle simulation pile up when they reach their turning point ( $v_x = 0$ ) and therefore produce a local increase of density, large enough to reflect other particles, and so on. The discrepancy between particle and hybrid simulations may be due to the fact that a larger number of ions are reflected in the dissipationless particle code than in the hybrid code. This excess of reflected ions could explain the large local increase of the density in the foot region described by *Biskamp* [1973].

We note also that in our simulations the shock front remains almost immobile, which indicates that the shock is in mass, momentum, and energy balance. The shock front actually moves slightly towards the left (Figure 1) between  $t = 5.2 \omega_{ci}^{-1}$  and  $t = 9.6 \omega_{ci}^{-1}$  but does not oscillate as suggested by *Morse* [1976]. The downstream magnetic field, density,  $x$  and  $y$  average velocities reach their expected Rankine-Hugoniot values to within 20% (see, for example Figures 2, 3 and 6b). The sum of  $\beta_e$  and  $\beta_i$  is about 5.2 at  $x = 20 c/\omega_{pi}$  (Figure 5), in reasonable agreement with the Rankine-Hugoniot value 4.2. However, care should be exercised in providing the proper interpretation for  $\beta_i$  as discussed previously.

TABLE 1. Average Value and rms Deviation of the Magnetic Field and Potential Overshoot and the Number of Reflected Ions for the Run Described in Section 3

	Average Value	RMS Deviation
$B_{\max}/B_2$	1.26	0.06
$2e\phi_{\max}/m_i V_1^2$	0.76	0.06
$\alpha(\%)$	13.7	4.0

## 4. PARAMETER STUDIES

In this section, we discuss the scaling of various properties of the shock structure with the parameters of the model, i.e., the resistivity  $\eta$ , the upstream plasma  $\beta$  parameters  $\beta_{e1}$  and  $\beta_{i1}$ , and the upstream Alfvén Mach number  $M_A$ . We have investigated these upstream parameters in ranges typically observed in the bow shock of the earth and other planets, i.e.,  $\beta_{i1} \approx 0.1-1$ ,  $\beta_{e1} \approx 0.1-1$ ,  $M_A = 2-20$  [Greenstadt and Fredricks, 1979; Russell et al., 1981; Eselevich, 1981]. We have not attempted to discuss our results in terms of the magnetosonic Mach number  $M_S = V_1/(V_{A1}^2 + C_{s1}^2)^{1/2}$ , where  $V_{A1}$  and  $C_{s1}$  are the upstream Alfvén and sound speeds, because the MHD concept of fast magnetosonic shock wave has little relevance for the highly kinetic, supercritical situation usually observed in the bow shock. We conclude this section with a discussion of the transition between the low Mach number, MHD regime, and the supercritical regime for which ion reflection becomes important (lower critical Mach number  $M_A^*$ ). We also discuss the possible existence of an upper critical Mach number  $M_A^{**}$ , defined as the transition between the supercritical regime and a regime for which no shock solution exists.

## a. Resistivity and Electron Heating

The resistivity expected in the earth's bow shock is due to collisionless wave particle interactions driven by field gradients [Wu, 1982]. We have explored a wide range of resistivities from  $\eta/4\pi = 3 \times 10^{-6} \omega_{pi}^{-1}$  to  $6 \times 10^{-4} \omega_{pi}^{-1}$ , which are typical of current driven instabilities [Davidson and Krall, 1977]. Figure 8 shows the magnetic field profiles for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$  and  $\eta\omega_{pi}/4\pi = 3 \times 10^{-6}$ ,  $3 \times 10^{-5}$ ,  $1 \times 10^{-4}$ ,  $6 \times 10^{-4}$ . We note that, except for the case of highest resistivity, the structure of the magnetic field profile remains relatively invariant, always exhibiting a foot region, a magnetic ramp, and an overshoot (Figure 8). However, the separation of the various physical scales associated with these regions becomes clearer as  $\eta$  decreases. The foot region is associated with the reflected ions and scales as  $c/\omega_{pi}$  independently of  $\eta$ , whereas the magnetic ramp scales with the resistive length and becomes thinner as  $\eta$  decreases.

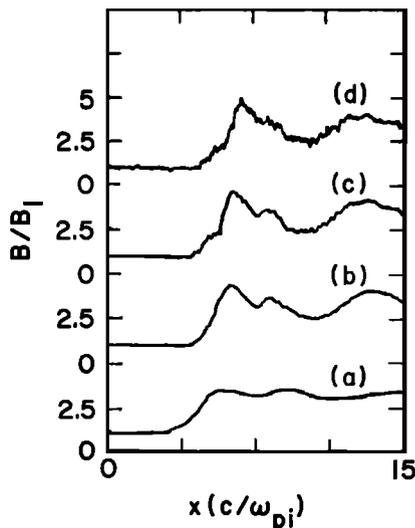


Fig. 8. Magnetic field profiles for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$ ,  $t = 2.6 \omega_{ci}^{-1}$  with (a)  $\eta\omega_{pi}/4\pi = 6 \times 10^{-4}$ , (b)  $10^{-4}$ , (c)  $3 \times 10^{-5}$ , and (d)  $3 \times 10^{-6}$ .

TABLE 2. Values of Potential and Magnetic Field Overshoots, Number of Reflected Ions and Electron Beta as a Function of resistivity for  $M_A = 6$ ,  $\beta_{e1} = \beta_{i1} = 1$

$\eta/4\pi (\omega_{pi}^{-1})$	$2e\phi_{max}/m_i V_1^2$	$\alpha$	$\beta_e'$	$B_{max}/B_2$
$3 \times 10^{-6}$	0.55	23	0.5	1.5
$3 \times 10^{-5}$	0.70	20	0.6	1.4
$1 \times 10^{-4}$	0.75	15	1.1	1.3
$6 \times 10^{-4}$	0.80	10	2.5	1.0

For  $\eta/4\pi = 6 \times 10^{-4} \omega_{pi}^{-1}$ , the magnetic field diffuses enough through the plasma to destroy the overshoot structure and to appear as a smooth monotonic transition.

The maximum of electric potential and magnetic field in their respective overshoots, the number of reflected particles  $\alpha$ , and the electron beta just beyond the magnetic ramp  $\beta_e'$  are given in Table 2 for the different resistivities. The sensitivity to  $\eta$  of these quantities, although noticeable, remains weak. When  $\eta$  varies by a factor 200,  $\beta_e'$  varies by a factor 5,  $\alpha$  by a factor 2.3,  $\phi_{max}$  by 1.45 and  $B_{max}$  by 1.5. Moreover, most of the variation occurs close to the highest value of  $\eta$  for which the overall structure of the shock is strongly modified. The decrease of the field overshoot is not surprising, since the resistivity tends to smooth out the magnetic field profile.  $\beta_e'$  increases with  $\eta$ , which implies an increase in the resistive electron heating. As a result,  $\phi_{max}$  also tends to increase with  $\eta$  (equation (7)). However, this increase of  $\phi_{max}$  does not lead to an increase of  $\alpha$ , since  $\alpha$  decreases with increasing  $\eta$  (Table 2), implying that magnetic deflection becomes less effective with respect to electrostatic deceleration as  $\eta$  increases.

The fact that shock quantities are weakly dependent on resistivity may be understood by the following order of magnitude estimate. If we consider a steady state situation, the electron energy equation (6) in its entropy form is approximately

$$\left[ \frac{1}{\gamma_e - 1} \right] [V_x^{-(\gamma_e-1)}] \frac{\Delta(p_e V_x^{\gamma_e})}{\Delta x} \approx \eta \left( \frac{c}{4\pi} \right)^2 \left( \frac{\Delta B}{\Delta x} \right)^2 \quad (10)$$

If we take for  $\Delta x$  the resistive length  $L_r = c^2\eta/4\pi V_1$  (the shortest scale of the system), estimate  $V_x$  and  $B$  behind the main magnetic ramp as  $V_2$  and  $B_2$ , and approximate  $V_x^{\gamma_e-1} \approx (V_1/2)^{\gamma_e-1}$ , equation (10) gives the electron pressure behind the magnetic ramp

$$p_e' = \left( \frac{V_1}{V_2} \right)^{\gamma_e} \left[ \frac{1}{4\pi} (B_2 - B_1)^2 \frac{\gamma_e - 1}{2^{\gamma_e-1}} + p_{e1} \right]$$

or, with  $V_1/V_2 = B_2/B_1 = 4$  and  $\gamma_e = 5/3$ ,

$$\beta_e' \approx 4.7 + 0.6 \beta_{e1} \quad (11)$$

Equation (11) is of course only an order of magnitude estimate (compare (11) and Table 2). Nevertheless, the absence of  $\eta$  in (11) explains the weak dependence on  $\eta$  of our results. Furthermore,  $\beta_e'$  given by (11) is independent of  $M_A$ . Consequently, the amount of energy going to the electrons by Ohmic heating cannot be increased indefinitely with  $M_A$ . Such a conclusion has also been suggested by electron heating measurements in laboratory experiments [Paul et al., 1967; Phillips and Robson, 1972]. It must be pointed out, however, that although the estimate of (11) is rather satisfactory (as far as its  $M_A$  dependence is concerned) just behind the main magnetic ramp, it becomes

inaccurate when considering the jump in  $\beta_e$  between the far downstream and upstream regions. In particular, for  $M_A > 8$ , the magnetic field profile is highly distorted, which causes additional Ohmic dissipation far behind the magnetic ramp. These results are shown in Table 3, which displays the values of  $\beta_e$  just behind the ramp ( $\beta_e'$ ) and far downstream as a function of  $M_A$  for  $\beta_{e1} = 0.01$ ,  $\beta_{i1} = 1.$ ,  $\eta/4\pi = 10^{-4} \omega_{pi}^{-1}$  at  $t = 2.6 \omega_{ci}^{-1}$ .

#### b. Upstream Electron Temperature $\beta_{e1}$

From the same equation (11) one would also expect the upstream electron temperature not to play a significant role for the shock structure. As long as  $\beta_{e1}$  does not exceed about 3, the electron heating is dominated by Ohmic friction. We have checked numerically that varying  $\beta_{e1}$  from 0.1 to 1 in two typical cases ( $M_A = 6$ ,  $\beta_{i1} = 0.1$  and  $M_A = 6$ ,  $\beta_{i1} = 1$ ) produces less than 10% variation of the magnetic field and the ion and electron bulk velocities. We note that the value of  $\beta_e'$  is 1.7 for the case with  $\beta_{e1} = 1$  investigated in section 3 (Figure 5). On the other hand, Table 3 gives  $\beta_e' = 1.1$  for nearly the same case as in section 3 except that  $\beta_{e1}$  is 0.01 instead of 1. This increase of  $\beta_e'$  with  $\beta_{e1}$  is in good agreement with the estimate of (11). We have also verified that adiabatic heating is dominant for large  $\beta_{e1}$ .  $\beta_e$  actually decreases from upstream to downstream for  $\beta_{e1} = 10$  ( $M_A = 6$ ,  $\beta_{i1} = 1$ ,  $\eta/4\pi = 10^{-4} \omega_{pi}^{-1}$ ) owing to the fact that  $\gamma_e (= 5/3)$  is slightly less than 2.

#### c. Upstream Alfvén Mach Number $M_A$

Numerical runs for  $M_A = 4, 6, 8, 10$  and  $\beta_{e1} = \beta_{i1} = 1$  have been performed in order to investigate the  $M_A$  dependence of the shock structure. The resistivity has been taken proportional to  $M_A$ , so that the resistive length  $L_r = \eta c^2 / 4\pi V_1$  remains constant and equal to  $0.13 c / \omega_{pi}$  ( $\eta/4\pi = 10^{-4} \omega_{pi}^{-1}$  for  $M_A = 6$ ). Figure 9 shows the magnetic field profiles as a function of  $x$  corresponding to these four cases at a time  $t = 10.2 \omega_{ci}^{-1}$ . The abscissa for each of these profiles has been normalized by  $V_1 / \omega_{ci2}$ , where  $\omega_{ci2}$  is the ion gyrofrequency computed by using the downstream magnetic field. Figure 9 shows that the shapes of the profiles are very similar, the only significant difference being that the magnitude of the variations of the field becomes larger as  $M_A$  increases. In addition, the figure shows that the scales of the field features are very much alike, and in particular that the width of the magnetic field overshoot is roughly equal to  $3 V_1 / \omega_{ci2}$ . The scaling with  $V_1 / \omega_{ci2}$  can be understood qualitatively as follows. The ion gyrating stream dynamically shapes the density profile, and hence the magnetic field profile, since  $n$  and  $B$  are strongly coupled. Therefore, we expect the gyroradius of the gyrating stream to be the relevant length scale in the post magnetic ramp region. Such a gyroradius should be computed by using the downstream magnetic field, which explains  $\omega_{ci2}$ ; what remains to be shown is that the magnitude of the gyrating stream velocity scales as  $V_1$ . Consider the idealized case of a shock front

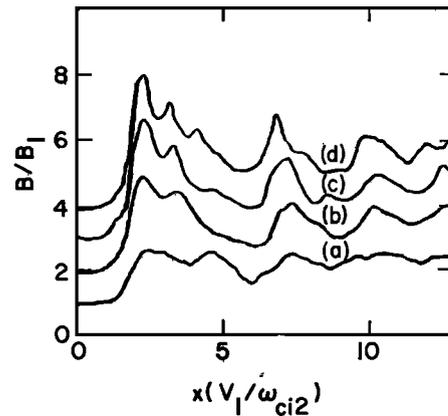


Fig. 9. Magnetic field profiles for  $\beta_{e1} = \beta_{i1} = 1$ ,  $t = 10.2 \omega_{ci}^{-1}$  (a) with  $M_A = 4$ , (b) 6, (c) 8, and (d) 10. The  $x$  abscissa has been normalized by  $V_1 / \omega_{ci2}$ .

behaving as a pure reflecting wall. The trajectory in uniform  $E_y = V_1 B_1 / c$  and  $B_1$  of an incoming test ion, reflected at the shock front with  $v_x = -V_1$ ,  $v_y = 0$ , can easily be computed and gives the result that the test ion comes back to the shock front after half a revolution with  $v_x = 1.64 V_1$ ,  $v_y = 1.90 V_1$ . Its kinetic energy,  $6.3 (m_i V_1^2 / 2)$ , would then be large enough to be nearly unaffected by the crossing of the potential barrier, since  $e\phi_{\max} < \frac{1}{2} m_i V_1^2$ , and this test particle would gyrate downstream with a velocity scaling as  $V_1$ . Of course, the exact ion dynamics in the foot and ramp regions make the actual picture much more complicated. Nevertheless, the simulations reveal that the gyrating reflected ions acquire a kinetic energy equal to 3–3.5 times  $m_i V_1^2 / 2$  just beyond the main magnetic ramp (see, for example, Figure 1e) rather independently of the Mach number. The  $V_1 / \omega_{ci2}$  scaling of the magnetic field features in the downstream region was first proposed by Morse [1976] on the basis of qualitative arguments which, however, did not include the concept of reflected gyrating ion stream.

Figure 10 shows the dependence on  $M_A$  of the magnetic field and electric potential overshoots, and number of reflected ions. Plotted are the time averages of  $\alpha$  and the maxima of  $B$  and  $\phi$ ; the error bars indicate the standard deviations for each average. The averaging period,  $2 \omega_{ci}^{-1} \leq t \leq 10.2 \omega_{ci}^{-1}$ , is chosen to exclude the initial transients in the simulations.

The most important fact revealed by Figure 10 is that there is a direct relation between the number of reflected ions and the size of the magnetic field overshoot: the time averages  $\langle \alpha \rangle$  and  $\langle B_{\max} \rangle$  both increase with  $M_A$  in a similar fashion. Moreover, when  $\langle \alpha \rangle$  goes to zero, the field overshoot vanishes. As the Mach number increases, the values of  $\langle \alpha \rangle$  and  $\langle B_{\max} \rangle$  approach upper limits, suggesting some sort of saturation mechanism for large  $M_A$ , which will be discussed later. The value obtained for  $M_A = 8$ ,  $\langle B_{\max} \rangle / B_2 = 1.35$  is significantly smaller than the value 1.7 found in the case investigated in paper 1, due to the use in the present paper of a much larger resistivity (section 4a)). The variation of  $\langle \alpha \rangle$  with  $M_A$  may be compared with similar results in the unmagnetized ion analysis of Chodura [1975]. We find somewhat higher values of  $\alpha$ , especially for  $M_A < 10$  ( $\alpha = 5\%$  and  $18\%$  for  $M_A = 6$  and  $10$  in Chodura's analysis, whereas  $\langle \alpha \rangle = 13\%$  and  $21\%$  in ours). Furthermore, the difference is enhanced as the

TABLE 3. Electron Beta Behind the Ramp and Far Downstream as a Function of Mach Number

$M_A$	4	6	8	10
$\beta_e'$ (ramp)	0.5	1.1	1.	1.3
$\beta_e$ (far downstream)	1	2.5	5.	9

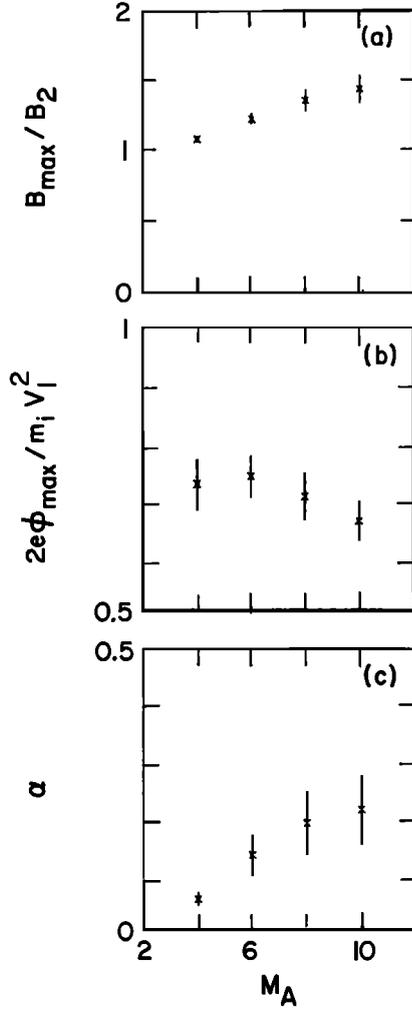


Fig. 10. (a)  $B_{\max}$ , (b)  $\langle\phi_{\max}\rangle$ , and (c)  $\alpha$  as functions of  $M_A$  for  $\beta_{e1} = \beta_{i1} = 1$ . Crosses are time averages. The length of the vertical lines is twice the rms deviation.

resistivity is decreased:  $\alpha \approx 32\%$  for  $M_A = 10$ ,  $\eta/4\pi = 10^{-5} \omega_{pi}^{-1}$ .

We attribute this difference to the importance of magnetic effects in the dynamics. We note from Figure 10 that the normalized  $\langle\phi_{\max}\rangle$  goes through a maximum of 0.74 for  $M_A = 6$  and then decreases for  $M_A > 6$ . This result, together with the corresponding variation of  $\alpha$  with  $M_A$ , can be used to investigate the role of magnetic deflection in the reflection process. For this purpose we evaluate the fraction  $\alpha'$  of incoming particles that should be reflected by the potential  $\langle\phi_{\max}\rangle$  if the ions had only 1 degree of freedom, along the  $x$  axis, i.e.,

$$\alpha' = \frac{\int_{-\infty}^{V_0} f_{i1}(v_x) dv_x}{\int_{-\infty}^{+\infty} f_{i1}(v_x) dv_x}$$

where  $f_{i1}$  is the upstream ion distribution function (Maxwellian) and  $V_0$  is the largest  $x$  velocity that leads to reflection,  $V_0 = (2e\langle\phi_{\max}\rangle/m_i)^{1/2}$ . The value of  $\alpha'$  is little modified if we calculate  $\alpha'$  as a flux ratio instead of a density ratio. The expression giving  $\alpha'$  may be reduced to

$$\alpha' = \frac{1}{2} \operatorname{erfc} \left[ \frac{M_A}{(\beta_{i1})^{1/2}} \left( 1 - \frac{V_0}{V_1} \right) \right]$$

The values of  $\langle\alpha\rangle$  and  $\alpha'$  are listed in Table 4. It is clear from these values that magnetic deflection plays an increasing role with  $M_A$  for  $M_A \geq 6$ , since  $\langle\alpha\rangle - \alpha'$  is positive and becomes larger with  $M_A$  for  $M_A \geq 6$ . Table 4 shows also that  $\langle\alpha\rangle$  is significantly less than  $\alpha'$  for  $M_A = 4$ , which is rather unexpected, since the only effect of magnetic deflection, if any, should be to add to the electrostatic braking and therefore reflect more particles than in the electrostatic case. This apparent discrepancy is explained as follows. The potential overshoot is observed to be small in the simulation for  $M_A = 4$  and  $\beta_{i1} = 1$ , essentially because  $\langle\alpha\rangle$  is small. Furthermore, the top of the potential overshoot is flattened by thermal effects, which are significant in this case because the upstream ion thermal velocity becomes comparable to the upstream bulk speed. As a result, a relatively wide ( $\sim c/\omega_{pi}$ ) region of the potential overshoot has a small derivative  $\partial\phi/\partial x$ . In the reflection process, some of the incoming ions, approximately  $\alpha'$  in number, are stopped by the potential barrier, but most of them see their  $x$  velocity change sign in the neighborhood of the maximum of  $\phi$  where  $\partial\phi/\partial x$  is small (but positive). Such an ion, when reflected, is accelerated in the positive  $y$  direction (equation (9)). The term  $e v_y B/m_i c$  in equation (8) can then easily exceed the weak term  $-\partial\phi/\partial x$  in such a way that the particle eventually surmounts the potential barrier. In other words, most of the  $\alpha'$  ions do not have time to go down the potential hill and be recognized as a 'reflected ion' by the diagnostic in the simulation. Rather, they undergo a small loop close to the top of the potential overshoot and gain enough energy by  $E_y$  to eventually overcome the potential barrier and convect downstream, where they become indistinguishable from truly 'transmitted' ions.

Finally, we note that although some fluctuations in  $\alpha(t)$ ,  $B_{\max}(t)$ , etc., are noticeable (see rms standard deviations in Figure 10), and, particularly in the high Mach number regime, they remain fairly small. For example,  $B_{\max}$  varies by less than 7% around its averaged value. Thus the shock structure may be characterized as being quasi-stationary.

#### d. Upstream ion $\beta_{i1}$

It is shown for the case investigated in section 3 ( $M_A = 6$ ,  $\beta_{i1} = 1$ ) that regardless of the initial value of  $T_{e2}/T_{i2}$ , compressional heating in the early transitory stage of the calculation is sufficient to provide a seed population of downstream ions with negative  $x$  velocities. This population leads to a steady stream of reflected ions. However, this scheme is only possible for sufficiently large  $\beta_{i1}$ , since compressional heating is negligible in the limit of small  $\beta_{i1}$ .

We can estimate roughly the limit of  $\beta_{i1}$  (say  $\beta_{i1}^*$ ) above which the process is operable, as follows. Compressional heating will produce a significant amount of downstream ions with negative  $x$  velocities if

$$v_{thi2} \approx V_2 \quad (12)$$

TABLE 4. Percentage of Reflected Ions With ( $\alpha$ ) and Without ( $\alpha'$ ) Magnetic Effects as a Function of Mach Number for  $\beta_{e1} = \beta_{i1} = 1$

$M_A$	4	6	8	10
$\alpha$ , %	5.3	13	18	21
$\alpha'$ , %	22	12	3	0.4

The  $\alpha'$  are computed by using the values of  $\langle\phi_{\max}\rangle$  shown in Figure 10.

where  $v_{thi2}$  is the downstream ion thermal velocity. Compressional heating with  $\gamma_i = 2$  gives  $v_{thi2} = v_{thi1} (n_2/n_1)^{1/2}$ , so that equation (11) becomes, using  $n_2 V_2 = n_1 V_1$  and the definitions of  $\beta_{i1}$  and  $M_A$ ,

$$\beta_{i1}^* = M_A^2 (n_2/n_1)^{-3} \quad (13)$$

For  $M_A = 6$  and  $n_2/n_1 = 4$ , equation (13) gives  $\beta_{i1}^* = 0.5$ . Runs with  $\beta_{i1} > \beta_{i1}^*$  have been described earlier. We have, in addition, performed numerical runs with  $\beta_{i1} < \beta_{i1}^*$  with the same parameters as in section 4c but with  $\beta_{i1} = 0.1$  and  $\beta_{e1} = 0.1$ . The results exhibit somewhat different characteristics with respect to their large  $\beta_{i1}$  counterparts, especially for high  $M_A$ ,  $M_A \geq 8$ . The behavior of the shock structure is no longer nearly stationary, but becomes dynamic. However, the main characteristics of the shock structure (foot, ramp, overshoot) remain the same as before: once set up, the overshoots in magnetic field, density, and electric potential persist in time but oscillate in magnitude more, as shown in Figure 11 for  $M_A = 10$ .

This dynamic behavior may be described qualitatively as follows. Suppose that initially no reflected ions exist. Then the interface between upstream and downstream streams steepens up, although limited by magnetic field diffusion, and enhances the electron heating (note that the estimate of electron heating in equation (11) is no longer valid in the unsteady state considered here). This heating in turn increases the electric potential (equation (7)) so that some ions are reflected. The foot, then formed, smooths out the gradients of density and magnetic field, reducing the electron heating and electric potential, and hence the number of reflected ions. The process then repeats itself. This approximate scheme ignores magnetic effects, which also play a significant role in the reflection process.

Figure 12 shows the same quantities ( $\alpha$ ),  $\langle B_{\max} \rangle / B_2$ , and  $2e$

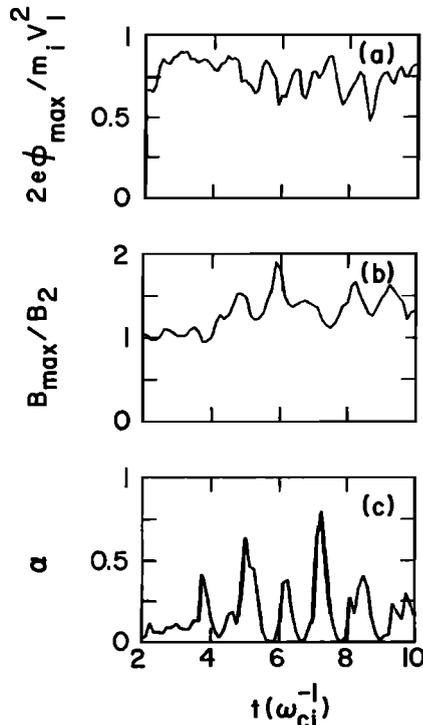


Fig. 11. Time histories of (a)  $\phi_{\max}$ , (b)  $B_{\max}$ , and (c)  $\alpha$  between  $t = 2\omega_{ci}^{-1}$  and  $10.2\omega_{ci}^{-1}$  for  $\beta_{i1} = 0.1$ ,  $M_A = 10$ .

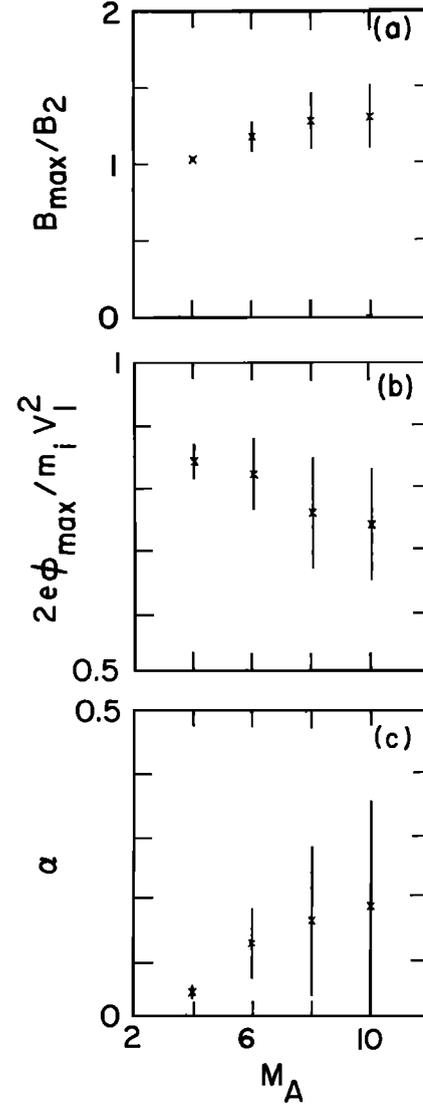


Fig. 12. (a)  $B_{\max}$ , (b)  $\phi_{\max}$ , and (c)  $\alpha$  as functions of  $M_A$  for  $\beta_{i1} = \beta_{e1} = 0.1$ .

$\langle \phi_{\max} \rangle / m_i V_1^2$  and their standard deviations as in Figure 10 for  $\beta_{i1} = \beta_{e1} = 0.1$ . Again,  $\langle \phi_{\max} \rangle$  decreases with  $M_A$ , which confirms the previous conclusion that magnetic deflection plays an increasing role with  $M_A$ . It is interesting to note that the functions  $\langle \alpha \rangle (M_A)$  and  $\langle B_{\max} \rangle (M_A)$  are roughly the same as in Figure 10; i.e., in the time average, the magnetic field overshoot and the number of reflected ions do not depend sensitively on the upstream ion temperature. The magnitudes of the time variations of  $\alpha$ ,  $B_{\max}$ , and  $\phi_{\max}$ , however, are significantly larger for  $\beta_{i1} = 0.1$  than for  $\beta_{i1} = 1$  (compare Figure 10 and Figure 12). In particular, for  $M_A > 8$  and  $\beta_{i1} = 0.1$ , the rms value of  $\alpha(t)$  becomes comparable to  $\langle \alpha \rangle$ . The time history of  $\alpha$  (Figure 11 for  $M_A = 10$ ) reveals that  $\alpha(t)$  is an oscillatory function that vanishes periodically in time with a period of approximately  $\omega_{ci}^{-1}$ . Thus the ions are reflected dynamically in bunches, instead of continuously.

#### e. The Critical Mach Numbers

So far, we have investigated a range of  $M_A$  typical for the earth and planetary bow shocks ( $M_A \approx 4-10$ ). However, low  $M_A$  shocks, although unfrequently observed, can occur in the earth bow shock [Greenstadt and Fredricks, 1979], and

rather high  $M_A$  shocks are likely to be observed in the neighborhood of remote planets like Jupiter [Russell *et al.*, 1981]. It is thus worthwhile to investigate the limits of our model for very low and very high Mach numbers.

*Low  $M_A$  regime and lower critical Mach number  $M_A^*$ .* It is well known [Tidman and Krall, 1971] that below a certain critical Mach number  $M_A^*$ , the shock behavior is satisfactorily described by fluid theory. Above  $M_A^*$ , ion reflection occurs and the shock is called supercritical. The value of  $M_A^*$  is given by fluid theory as the Mach number for which  $V_2 = C_{s2}$ , where  $C_{s2}$  is the downstream sound speed  $C_{s2}^2 = (\gamma_e T_{e2} + \gamma_i T_{ix2})/m_i$  and  $T_{ix2}$  is the  $x$ - $x$  component of the ion pressure tensor divided by density. Our simulations are in good agreement with fluid theory, in that when  $V_2 > C_{s2}$ , no ion reflection occurs ( $M_A < M_A^*$ ) and the opposite for  $V_2 < C_{s2}$  (some slight deviations from this behavior, however, are found for high resistivity). For example,  $M_A^*$  is found to be between 2.5 and 3 for  $\beta_{i1} = \beta_{e1} = 0.01$  in our simulations, in good agreement with fluid theory ( $M_A^* = 2.76$ ). A subcritical shock is found to exhibit in our calculations a remarkably stationary behaviour after the usual transitory period. The shock is then purely resistive, and its width relates to the resistive length  $L_r$ . The only ion heating is adiabatic with  $\gamma_i = 2$ , and  $V_{iy} = 0$  throughout the shock. The usual magnetosonic wavetrain [Tidman and Krall, 1971] is not observed, since no  $c/\omega_{pe}$  scale is included in our calculation. The Rankine-Hugoniot relations are found to be well verified within an accuracy of 10%.

*Very high Mach number regime ( $M_A > 10$ ).* The simulation leads to nonstationary structures above a certain threshold of  $M_A$ ,  $M_A^{**} \approx 12$ –13. For  $M_A > M_A^{**}$ , the shock front is found to move toward the right of the system with a velocity such that the effective Mach number in the shock rest frame falls a little below  $M_A^{**}$ . In addition, this value  $M_A^{**}$  is found to be rather insensitive to  $\beta_{i1}$ . This result may again be compared with the results of Chodura [1975] for unmagnetized ions. In that case no shock structure was obtained for  $M_A > 10$ , since above this value piston structure and shock structure were found to be indistinguishable. On the other hand, Forslund and Freidberg [1971] for the case of no resistivity and unmagnetized ions have derived an upper critical Mach number  $M_A^{**} = 3.18$  above which all ions are reflected and no shock solution exists. The inclusion of resistivity, however, has the effect of increasing the critical Mach numbers  $M_A^*$  and  $M_A^{**}$ . It is well known in particular that for  $\beta_{i1} = \beta_{e1} = 0$ ,  $M_A^*$  goes from 2. to 2.76 when resistivity is allowed [Biskamp, 1973]. However, the question of whether  $M_A^{**}$  is finite or infinite when resistivity is included has not yet been resolved. Although a possible inadequacy of the initial conditions cannot be excluded, our results together with Chodura's tend to suggest that  $M_A^{**}$  is actually finite and equal to 12–13 in the magnetized case for one-dimensional spatial variation. The existence of  $M_A^{**}$  is related to the inefficiency of dissipation mechanisms above a certain threshold. We have already noted that electron dissipation tends to saturate with  $M_A$ . This implies that the gyrating ions might be unable to provide the increase of ion kinetic pressure required to satisfy the Rankine-Hugoniot relations above a certain threshold of the Mach number.

## 5. SUMMARY AND DISCUSSION

In this section we summarize our main results and discuss them in relation to observational data of quasi-perpendicular

bow shocks. Some remarks on laboratory experiments are also included. The empirical definition of quasi-perpendicular shocks refers to shocks for which the angle  $\theta_{nb}$  between the upstream magnetic field and the shock normal exceeds  $50^\circ$  [Dobrowolny and Formisano, 1973]. Quasi-perpendicular shocks form a broad and rather homogeneous category, exhibit easily identifiable features, and resemble the perpendicular shock in being sharply defined [Greenstadt and Fredricks, 1979]. By using a version of our code which includes a small component of the magnetic field along the shock normal ( $\theta_{nb} = 80^\circ$ ), we have obtained results that are very similar to those with  $\theta_{nb}$  exactly equal to  $90^\circ$ . Thus the strictly perpendicular geometry investigated throughout this paper is actually not singular and may be used as a basis for a comparison with observational data of quasi-perpendicular shocks. It should be kept in mind, however, that whereas a rigorous comparison can be made with nearly perpendicular shocks ( $80^\circ \lesssim \theta_{nb} \lesssim 90^\circ$ ), the comparison of the simulation results with more oblique shocks, still quasi-perpendicular, should be viewed with some skepticism, since a change of the physical processes in the shock can be expected as  $\theta_{nb}$  decreases by a significant amount [Biskamp, 1973].

### a. The Reflected Gyating Ion Stream; Ion Heating

Preliminary observational results reported by Paschmann *et al.* [1981] for the November 7, 1977, shock crossing ( $M_A \approx 8$ ,  $\beta_i \approx 0.9$ ,  $\beta_e \approx 2.2$ ) indicate the presence of a gyrating stream of density about 20% of the upstream density in the foot region of the shock. This value is fairly consistent with our simulations, which give  $\alpha \approx 18\%$  for  $M_A = 8$ ,  $\beta_{e1} = \beta_{i1} = 1$  (see Figure 10). The maximum number of reflected ions in the simulations is about 32% (high  $M_A$ , low resistivity), in general agreement with laboratory experiments which have shown an upper limit of 35% for  $\alpha$  or even less [Chodura, 1975].

The reflected stream observed by Paschmann *et al.* [1981] extends back into the downstream region and creates there a bimodal ion distribution. Such bimodal distributions in the bow shock were first observed by Montgomery *et al.* [1970] and confirmed by other experimenters [Formisano and Hedgecock, 1973; Greenstadt *et al.*, 1980]. The additional group of protons of the distribution is observed to have velocities approximately twice the upstream bulk speed near the shock front [Montgomery *et al.*, 1970], in good agreement with our results. Figure 1e shows, in fact, that the magnitude of the velocity of the gyrating stream is about 1.7 to 1.9 the upstream bulk speed. Although the high-energy peak of the bimodal distribution has been discussed in terms of a gyrating ion stream propagating across the magnetic field as early as 1971 [Auer *et al.*, 1971], this high-energy peak has been interpreted most commonly as a high-energy component along the field direction [Greenstadt and Fredricks, 1979]. Our results reproduce rather nicely the ion gyrating stream in the foot as well as in the downstream region (Figure 1e) and confirm the earlier interpretation of Auer *et al.* [1971]. The agreement is further reinforced when the ion distributions are displayed in the spacecraft reference frame (C. C. Goodrich, manuscript in preparation, 1982) and compared with the results of Paschmann *et al.* [1981].

Although the ion thermalization length is observed to be large compared with the ion gyroradius, the hybrid code is expected to underestimate the downstream ion thermalization rate. In particular, isotropization processes are missing

in the code, since the ions do not undergo any heating in the field direction. Preliminary analytic results [Papadopoulos, 1981] indicate that instabilities driven by loss cone-like ion distributions due to the gyrating ions can produce thermalization in the direction perpendicular to the magnetic field, while the electromagnetic ion cyclotron instability [Davidson and Ogden, 1975] can produce energy transfer in the parallel direction and isotropization. These will be discussed in a forthcoming paper (C. S. Wu et al., manuscript in preparation, 1982).

#### b. Time Dependence and Length Scales of the Overall Structure

The use of multiple spacecraft in the ISEE experiment has permitted reliable length scale measurements of the bow shock thickness. It has been shown [Russell and Greenstadt, 1979] that moderately supercritical quasi-perpendicular bow shocks have reproducible features indicating structural stability over a time scale of a minute (typically  $10^2 \omega_{ci}^{-1}$ ). These features include a foot region, a principal sharp magnetic gradient, a postgradient overshoot, and a postovershoot relaxation (undershoot) in which the field falls below its downstream value. The simulation results are consistent with such a picture. After a transitory period lasting about a downstream ion gyroperiod, an overall structure of the shock is formed and persists in time; it includes the above listed features (foot, ramp, overshoot, undershoot; see, for example, Figure 2). Some oscillations do exist, particularly weak for a rather large upstream  $\beta_{i1}$  ( $\beta_{i1} \approx 1$ ) and quite significant for low  $\beta_{i1}$  ( $\beta_{i1} \sim 0.1$ ), but at any rate they are not strong enough to destroy the overall structure; moreover, the shock front does not move significantly. In this sense our model does not support previous theoretical models of supercritical shocks in the magnetized case [Biskamp and Welter, 1972; Morse, 1976]. The quasi-stationary behavior observed in our simulations at large  $\beta_{i1}$  ( $\beta_{i1} \approx 1$ ) may also be related to the steady shock structures obtained in some high- $\beta$  laboratory experiments [Keilhacker et al., 1969, 1971]. Furthermore, we show (sec. 4a) that the sizes of the foot and the overshoot region are mainly determined by ion dynamics, whereas the thickness of the magnetic ramp is mainly determined by the resistivity (resistive length). What is usually referred to in the literature (i.e., for example, Russell and Greenstadt [1979]) as 'thickness of the shock' corresponds to the foot plus ramp in our model. The thicknesses of the foot and of the overshoot are observed to be of the order of  $c/\omega_{pi}$  and  $3 c/\omega_{pi}$ , respectively [Russell and Greenstadt, 1979], whereas our calculations give  $1.3\text{--}2.3 c/\omega_{pi}$  for the foot and  $4\text{--}7 c/\omega_{pi}$  for the overshoot with  $M_A$  in the range  $4\text{--}10$  (Figure 9). These numbers are in reasonable agreement if we take into account the fact that the observed quasi-perpendicular shock crossing of Russell and Greenstadt [1979] has  $\theta_{nB} \leq 70^\circ$ . The nearly perpendicular high Mach number ( $M_A \sim 8$ ) shock crossing of November 7, 1977, agrees more closely with our results. From the UCLA magnetometer data and the known normal velocity of ISEE 1 with respect to the shock (7.5 km/s; J. D. Scudder, private communication, 1981), we infer a foot region thickness  $\approx 2.4 c/\omega_{pi}$  and an overshoot thickness  $\approx 6 c/\omega_{pi}$ , whereas our simulations give respectively  $\sim 2.2 c/\omega_{pi}$  and  $\sim 5 c/\omega_{pi}$  for  $M_A = 8$  (Figure 9).

Another interesting observational feature is the presence in the overshoot of a large amplitude wave train of several

cycles that scale as a fraction of  $c/\omega_{pi}$  [Russell and Greenstadt, 1979]. It is likely that these fluctuations are simply the signature of the temporal variations that occur on the ion gyrotime scale in our simulations. A fictitious 'satellite' entering our simulated transition layer with a reasonable velocity (a few kilometers per second or, equivalently,  $\sim 10^{-1} c/\omega_{pi}$  per  $\omega_{pi}^{-1}$ ) may be considered as motionless with respect to the shock structure on the ion gyrotime scale. The fluctuations recorded by this satellite are thus mainly temporal within the framework of our model. The level of the fluctuations are found to be in good agreement with the observations. The rms standard deviation of the magnetic field fluctuations for the shock crossing of November 5, 1977 ( $M_A = 5.7$ ,  $\beta_{i1} = 0.17$ ) is about  $0.2 B_2$  [Greenstadt et al., 1980, Figure 1]. On the other hand, Figure 12 gives a rms value of  $0.1 B_2$  for  $M_A = 6$ ,  $\beta_{i1} = 0.1$  and  $\eta/4\pi = 10^{-4} \omega_{pi}^{-1}$ , a value which can be increased by typically a factor of 2 if the resistivity is reduced by a factor of  $10^2\text{--}10^3$ .

#### c. Electron Heating

The hybrid code in its present form is not expected to lead to very accurate results as far as electron heating is concerned, both because of the simple model of resistivity assumed, and because important effects in the bow shock such as electron heat flux have been omitted in the fluid calculation of the electrons. In particular, we expect electron heating to be overestimated in our calculation, since the assumption of constant resistivity leads to further electron heating beyond the magnetic ramp; the effect is more pronounced at higher Mach numbers where the downstream region contains steep magnetic field gradients. In contrast, the observed enhanced fluctuations giving rise to anomalous resistivity typically have frequencies between the lower hybrid and the electron plasma frequency and occur primarily in the foot, ramp, and part of the overshoot region [Greenstadt et al., 1980]. Furthermore, electron temperature measurements reveal [Bame et al., 1979] that the profiles of  $T_e$  and  $n_e$  are often similar to one another and also similar to the profile of  $B$ , which indicates that no subsequent electron heating takes place after the magnetic field overshoot. This suggests that the resistivity should be 'turned off' beyond the overshoot region in our model. Nevertheless, the use of a phenomenological resistivity has led to the following conclusions.

1. The shock structure (foot, ramp, overshoot) is principally governed by ion dynamics and not by resistivity.

2. The amount of Ohmic dissipation in the ramp region is weakly dependent upon the magnitude of the resistivity, as are the number of reflected ions and the magnitude of the field overshoot. While the qualitative presence of resistivity is needed to provide energy dissipation, its actual form and magnitude appears to play a secondary role.

3. Two regimes for  $\beta_{e1}$  can be distinguished. When  $\beta_{e1}$  is less than 1, Ohmic heating prevails and the shock structure is essentially independent of  $\beta_{e1}$ . When  $\beta_{e1}$  is significantly larger than 1, compressional (adiabatic) heating prevails. The latter situation occurs most often in the bow shock, since  $\beta_{e1}$  does not change very much in the solar wind and is often greater than unity.

#### d. Magnetic Deflection

The foot region plays a crucial dynamic role in that reflected ions provide both an extra contribution to the

electric potential barrier (potential overshoot) and a significant deceleration of the incoming ions by magnetic deflection (paper 1; also sections 3 and 4). The importance of magnetic deflection has been demonstrated experimentally with the observation of plasma rotation in a theta pinch by Bengston *et al.* [1977]. These authors have observed a large component of the average ion velocity in a direction orthogonal both to the field and to the shock normal, which is the signature of magnetic effects.

The presence of an isomagnetic jump, observed in laboratory experiments in the range  $M_A = 3-5$  and interpreted as an ion-acoustic subshock [Eselevich *et al.*, 1971; Eselevich, 1981], has not been observed in our simulations. This is not surprising, since the ion acoustic subshock scales as the electron Debye length, which is much shorter than the smallest scale we consider, the resistive length scale. This omission has probably no crucial consequences, since the isomagnetic jump is not expected to lead to significant ion heating [Biskamp, 1973].

#### e. Mach Number and $\beta_1$ Dependence

The magnetic field and potential overshoots are intimately correlated to the reflected ions (paper 1). Figures 10 and 12 show that the magnetic field overshoot vanishes for  $M_A < 3$ . This corresponds roughly to the first critical Mach number beyond which ion reflection occurs. These figures show also that both the number of reflected ions and the field overshoot are increasing functions of  $M_A$ . The time average of the magnitude  $(B_{\max} - B_2)/B_2$  of the magnetic field overshoot increases from 0 at  $M_A = 3$  to about 0.5 for  $M_A = 10$  (Figure 10) and can reach 1 for  $M_A = 10$  and very small resistivities. This result is consistent with observations of planetary bow shocks [Russell *et al.*, 1981]. For a given  $M_A$ , the simulations show that the average in time of the magnetic field overshoot is independent of  $\beta_{e1}$  (section 4b) and rather independent of  $\beta_{i1}$  (compare Figure 10 and Figure 12). Since the field overshoot increases with  $M_A$  at constant  $\beta_1 \equiv \beta_{e1} + \beta_{i1}$ , this implies that for a given magnetosonic Mach number

$$M_S = M_A \left( 1 + \frac{\gamma\beta_1}{2} \right)^{-1/2}$$

the field overshoot increases with  $\beta_1$ , which is also consistent with the results of Russell *et al.* [1981]. We note also that the fluctuations of the field overshoot about its time average become significant at low  $\beta_{i1}$  ( $\beta_{i1} \sim 0.1$ ) and high  $M_A$  ( $M_A \geq 8$ ).

The large-scale features of the post magnetic ramp region are determined primarily by the gyrating ions (paper 1). The results (Figure 9) show that the compression-rarefaction features of the downstream region scale as  $V_1/\omega_{ci2}$ , i.e., the upstream bulk speed divided by the ion gyrofrequency computed with the downstream magnetic field. We find in particular that the thickness of the magnetic field overshoot scales as  $\sim 3V_1/\omega_{ci2}$ . Finally, we show that the kinetic energy per ion in the gyrating stream is about 3–3.5 times  $m_i V_1^2/2$  in the near downstream region just beyond the magnetic ramp.

In the low Mach number, subcritical regime ( $M_A \lesssim 3$ ), we have obtained essentially resistive shocks, characterized by a smooth and monotonic transition layer which scales with the resistive length. Ion reflection is absent, and the only ion heating is compressional (adiabatic). These results are in good agreement with fluid theory [Tidman and Krall, 1971]

and with observations of quasi-perpendicular bow shocks or interplanetary shocks [Greenstadt *et al.*, 1975; Formisano *et al.*, 1973; Greenstadt, 1974; Russell and Greenstadt, 1979]. Such subcritical shocks are labeled 'laminar' when  $\beta_1 \ll 1$  and quasi-turbulent when  $\beta_1 \approx 1$ . It has been generally observed that, although the overall shock structure does not depend drastically on  $\beta_1$  (ions + electrons), the  $\beta_1 \approx 1$  shocks exhibit more local irregularities in the magnetic field than low- $\beta_1$  shocks [Formisano and Hedgecock, 1973; Russell *et al.*, 1981]. On the other hand, we find in the simulations that moderately high ion  $\beta_{i1}$  (not the total  $\beta$ ) give rise to more stable structures in the supercritical regime than low  $\beta_{i1}$ .

Very high beta structures have not been investigated in the present paper. We expect quite a different behavior when  $\beta_{i1}$  is such that a significant fraction of upstream particles have a negative velocity component along the shock normal, i.e., when  $\beta_{i1}/M_A^2$  is larger than 1. Very high  $\beta$  shocks, although rare in the earth's bow shock, are observed to behave in a very irregular fashion [Formisano *et al.*, 1975].

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